

#### **Record of Results**

## Gavin William Harrigan

# Diploma of Finance and Mortgage Broking Management FNS50311

BSBCOM501B	Identify and interpret compliance requirements	Competent
FNSFMB401A	Prepare loan application on behalf of finance or mortgage broking clients	Competent
FNSFMB402A	Provide finance and mortgage broking services	Competent
FNSINC402A	Develop and maintain in-depth knowledge of products and services used by an organisation or sector	Competent
FNSFMB501A	Settle applications and loan arrangements in the finance and mortgage broking industry	Competent
BSBOHS303B	Contribute to OHS hazard identification and risk assessment	Competent
FNSFMK505A	Comply with financial services legislation and industry codes of practice	Competent
FNSFMB403A	Present broking options to client	Competent
FNSCUS501A	Develop and nurture relationships with clients, other professionals and third party referrers	Competent
FNSINC401A	Apply principles of professional practice to work in the financial services industry	Competent
BSBRSK401A	Identify risk and apply risk management processes	Competent
FNSFMB502A	Identify and develop complex broking options for client	Competent
FNSFMB503A	Present broking options to client with complex needs	Competent
FNSFMB504A	Implement complex loan structures	Competent
FNSPRM603A	Grow the practice	Competent

Date: 26 February 2013

Control number: AAMC10732D

Jeff/Mazzini

**Chief Executive Officer** 



#### This is to certify that

## Gavin William Harrigan

has fulfilled the requirements for

### Diploma of Finance and Mortgage Broking Management FNS50311

Date: 26 February 2013

Jeff Mazzini

Chief Executive Officer

This qualification is recognised within the Australian qualifications framework. Control number: AAMC10732D



Registered Training Organisation 51428